

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|  |   |                                 |
|--|---|---------------------------------|
| Applicants: Graeme John Proudler, et al. | ) | Group Art Unit: 2136            |
|  | ) |                                 |
|  | ) | Examiner: David Garcia Cervetti |
| Serial No.: 09/728,827                   | ) |                                 |
|  | ) | Re: Declaration of              |
| Filing Date: November 28, 2005           | ) | Suzanne Johnston                |
|  | ) |                                 |
|  | ) | Our ref.:B-4050CONTPCT          |
|  | ) | 618384-8                        |
| For: "OPERATION OF TRUSTED STATE IN      | ) |                                 |
| COMPUTING PLATFORM                       | ) | Date: January 23, 2006          |

**DECLARATION OF SUZANNE JOHNSTON CONCERNING INFORMATION  
DISCLOSURE STATEMENTS FILED ON MARCH 14, 2003 AND DECEMBER 9, 2003**

Mail Stop Amendment  
Commissioner for Patents  
P.O. BOX 1450  
Alexandria, VA 22313-1450

I, Suzanne Johnston, declare as follows:

1. I am the supervisor currently in charge of preparing and mailing information disclosure statements for the Los Angeles office of the law firm of Ladas & Parry, LLP. I am familiar with the practices of this office for preparation and filing of information disclosure statements. I reviewed the file of the above-identified application and, in particular, I reviewed the information disclosure statements mailed on March 14, 2003 and December 9, 2003 for the above-identified application. Another person, since departed, prepared and filed these statements and their attachments.

2. The file indicates that the information disclosure statements were complete as filed and that legible copies of the foreign patent documents and the non-patent literature provided with these information disclosure statements, indicated as omitted in the Office Action

of September 23, 2005, were in fact deposited in the U.S. mail with sufficient postage for First Class mail on March 14, 2003 and December 9, 2003, respectively.

3. Specifically, the file indicates that the following were mailed on each of those dates:

- a. a return-receipt postcard;
- b. an Information Disclosure Statement;
- c. a PTO-1449 form listing the references; and
- d. a copy of each foreign patent and non-patent literature reference listed in the PTO-1449 form.

4. Attached hereto as exhibits A and B are true copies of the return-receipt postcards for the information disclosure statements mailed on March 14, 2003 and December 9, 2003, respectively. The Patent and Trademark Office stamp on each postcard indicates that these materials were received by the Patent and Trademark Office.

5. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: January 23, 2006

  
SUZANNE JOHNSTON

I hereby certify that this correspondence is being deposited with the United States Post Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

January 23, 2006  
Date of Transmission



Jane Penzell  
(Name of person transmitting)

Jane Penzell  
(Signature)

January 23, 2006  
(Date)

To: USPD (alk)

Our reference:  
B-4050CONTPCT  
619322-5

FROM: **COMMISSIONER OF PATENTS  
AND TRADEMARKS**

Date mailed:  
March 14, 2003

THE PATENT AND TRADEMARK OFFICE  
MAIL ROOM STAMP HEREON ACKNOWLEDGES  
RECEIPT OF: Information Disclosure Statement (IDS) with  
a certificate of mailing (3 pages) with Form PTO-1449  
(modified) (3 pages); copies of Search Reports; a copy  
of each document listed in the Form PTO-1449 (modified);  
this postcard.

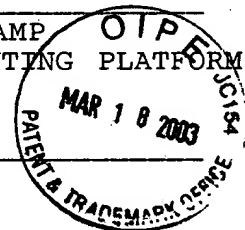
IN CONNECTION WITH:

Graeme John Proudler, et al.

FOR: "OPERATION OF TRUSTED STATE IN COMPUTING PLATFORM"

U.S. PATENT APPLICATION NO.:  
09/728,827

STAMP



Declaration of Suzanne Johnston  
Exhibit A

**BEST AVAILABLE COPY**

To: USPD (alk)

Our reference:

B-4050CONTPT

618384-8

FROM: **COMMISSIONER FOR PATENTS**

Date mailed:

December 9, 2003

THE PATENT AND TRADEMARK OFFICE  
MAIL ROOM STAMP HEREON ACKNOWLEDGES  
RECEIPT OF: Information Disclosure Statement (IDS) with  
a certificate of mailing (3 pages) with Form PTO-1449  
(modified) (2 pages); a copy of search report for GB  
0020369.5 (1 page); a copy of each document  
listed in the Form PTO-1449 (modified); Preliminary  
Amendment with a Certificate of Mailing (3 pages); this  
postcard.

IN CONNECTION WITH:

Graeme John Proudler, et al.

STAMP

FOR: "OPERATION OF TRUSTED STATE IN COMPUTING PLATFORM"

U.S. PATENT APPLICATION NO.:

09/728,827

Declaration of Suzanne Johnston  
Exhibit B



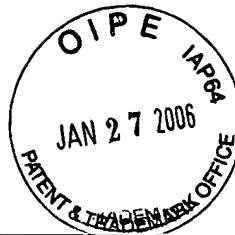
|  |   |                               |
|--|---|-------------------------------|
| Form PTO-1449 (Modified)                         | ATTY DOCKET NO.<br>B-4050CONT PCT<br>618384-8 | U.S. SERIAL NO.<br>09/728,827 |
| LIST OF PATENTS AND<br>PUBLICATIONS<br>STATEMENT | APPLICANT(S)<br>Graeme John Proudler, et al.  |                               |
|  | FILING DATE<br>November 28, 2000              | GROUP<br>not yet assigned     |

**U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | DOCUMENT NUMBER    | ISSUE<br>DATE             | NAME                 | CLASS | SUBCLASS | FILING DATE<br>or 102(e)<br>DATE IF<br>APPROPRIATE |
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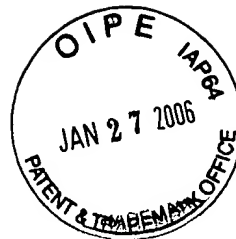
|  | DOCUMENT NUMBER               | PUBLICATION<br>DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES/NO |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Information Disclosure Statement  
 USSN 09/728,827  
 December 9, 2003  
 Page 4



|  |  |                               |
|--|--|-------------------------------|
| Form PTO-1449 (Modified)                         | ATTY DOCKET NO.<br>B-4050cont<br>618384-8    | U.S. SERIAL NO.<br>09/728,827 |
| LIST OF PATENTS AND<br>PUBLICATIONS<br>STATEMENT | APPLICANT(S)<br>Graeme John Proudler, et al. |                               |
|  | FILING DATE<br>November 28, 2000             | GROUP<br>2176                 |

**U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | DOCUMENT NUMBER | ISSUE<br>DATE | NAME            | CLASS | SUBCLASS | FILING DATE or<br>102(e) DATE IF<br>APPROPRIATE |
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|  | DOCUMENT NUMBER | PUBLICATION<br>DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES/NO |
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Information Disclosure Statement  
USSN 09/728,827  
December 9, 2003  
Page 5



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| EXAMINER | DATE CONSIDERED |
|----------|-----------------|
|          |                 |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
19 April 2001 (19.04.2001)

PCT

(10) International Publication Number  
**WO 01/27722 A1**

(51) International Patent Classification<sup>7</sup>: G06F 1/00

Graeme, John [GB/GB]; 5 Touchstone Avenue, Stoke Gifford, Bristol BS34 8XQ (GB). CHAN, David [GB/US]; 16112 Mays Avenue, Monte Sereno, CA 95030 (US).

(21) International Application Number: PCT/GB00/03613

(22) International Filing Date:  
19 September 2000 (19.09.2000)

(74) Agent: LAWRENCE, Richard, Anthony; Hewlett-Packard Limited, Intellectual Property Section, Filton Road, Stoke Gifford, Bristol BS34 8QZ (GB).

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(84) Designated States (*regional*): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

(71) Applicant (*for all designated States except US*):  
**HEWLETT-PACKARD COMPANY** [US/US]; 3000 Hanover Street, Palo Alto, CA 94304 (US).

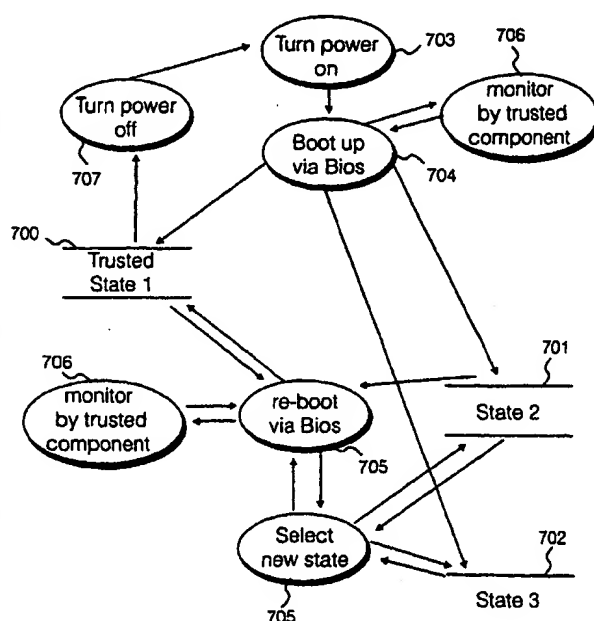
Published:  
— With international search report.

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): PROUDLER,

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: OPERATION OF TRUSTED STATE IN COMPUTING PLATFORM



(57) Abstract: A computing entity comprises a trusted monitoring component having a first processing means and a first memory means, the trusted monitoring component being a self-contained autonomous data processing unit, and a computer platform having a main processing means and a main memory area, along with a plurality of associated physical and logical resources such as peripheral devices including printers, modems, application programs, operating systems and the like. The computer platform is capable of entering a plurality of different states of operation, each state of operation having a different level of security and trustworthiness. Selected ones of the states comprise trusted states in which a user can enter sensitive confidential information with a high degree of certainty that the computer platform has not been compromised by external influences such as viruses, hackers or hostile attacks. To enter a trusted state, references made automatically to the trusted component, and to exit a trusted state reference must be made to the trusted component. On exiting the trusted state, all references to the trusted state are deleted from the computer platform. On entering the trusted state, the state is entered in a reproducible and known manner, having a reproducible and known configuration which is confirmed by the trusted component.

WO 01/27722 A1